| W.   | •  | 1                |
|--|--|------------------|
| IN THE UNITED SPATENT AND TRADE  | MARK OFFICE                              | C                |
| In re Patent Application of:   | Attention: Application                   | n Branch         |
| ELLISetal (NOV 0.2.2001 &  | Atty. Dkt. 608-312                       | <u> </u>         |
| Serial No. 09/964,849  |  |                  |
| Filed: September 28, 2001  | Date: November 2                         | ., 2001          |
| For: OXIDATION PROCESS FOR THE PRODUCTION OF ALKE  | NES AND                                  |                  |
| CARBOXYLIC ACIDS Assistant Commissioner for Patents Washington, D.C. 20231   |  |                  |
| The attached completes filing of the above-identified patent application   | on:                                      |                  |
| Signed Rule 63 Declaration alone, Copy of Declaration from   |  | OR               |
| Signed Declaration plus attached copy of originally filed specification  |  | <u></u>          |
| NOTICE TO FILE MISSING PARTS OF APPLICATION FILING   | <del>-</del>                             |                  |
| $\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{\overline{$ |  |                  |
| Attached is a Power of Attorney.   |  |                  |
| $oxed{\boxtimes}$ Priority is hereby claimed under 35 U.S.C. $\S$ 119 based on the fo  |  |                  |
| Application Number Country   | Day/Month/Ye                             |                  |
| 0026243.6 Great Britain  | 26 October                               | 2000             |
| respectively.  |  |                  |
| Certified copy(ies) of foreign application(s) is/are attached.   |  |                  |
| Certified copy(ies) filed on September 28, 2001 in prior applicati   | on no. <u>09/964,849</u> , filed         | <u>September</u> |
| 28, 2001.  | mont attached                            |                  |
| Applicant claims "small entity" status.   "Small entity" stater  |  | in a fa a        |
| Please enter the attached and/or below preliminary amendment   |  | _                |
| Also attached: Information Disclosure Statement; Nu  | cleotide and/or Amino                    | Acid             |
| Sequence Submission; U Other:  |  |                  |
| Fees due are calculated below:<br>Basic filing fee   | ¢  | 740.00           |
| Fotal Effective claims 23 - 20 = 3 x \$ 18.00  | \$<br>\$                                 | 54.00            |
| ndependent claims 1 - 3 = 0 x\$ 84.00  | \$                                       | 0.00             |
| f any proper multiple dependent claims now added for first time, add \$280.0   | 0 (ignore improper) \$                   | 0.00             |
|  | FILING FEE \$                            | 794.00           |
| Petition is hereby made to extend the current due date so as to cover the filir  | I  |                  |
| and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months;   | • •                                      | 0.00             |
| Surcharge (\$130.00) if Declaration or filing fee first now submitted  | \$                                       | 130.00           |
| English translation of specification and claims (\$130.00)   | FIRST SUBTOTAL \$                        | 0.00             |
|  |  | 924.00<br>0.00   |
| f "small entity," enter half (½) of subtotal and subtract  | COND SUBTOTAL \$                         | 924.00           |
| Assignment Recording Fee (\$40.00)   | -\$ COND SUBTOTAL \$ \$ TOTAL FEE DUE \$ | 40.00            |
| Todigrimont Hoodraling Foo (\$\psi \tau \tau \tau \tau \tau \tau \tau \tau   | TOTAL FEE DUE \$                         | 964.00           |
| Check  | enclosed (Pre-Bill)* \$                  | 964.00           |
|  | osed (non Pre-Bill)* \$                  | 2300             |
|  | EEE ENCLOSED &                           | 964.00           |

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100

LCM:lks

**NIXON & VANDERHYE P.C.** 

By Atty: Leonard C. Mitchard, Reg. No. 29,009

Signature:

\*For N&V internal accounting purposes









## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uscio.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/964,849

09/28/2001

Brian Ellis

608-312

NIXON & VANDERHYE P.C. 8th Floor 1100 North Glebe Road Arlington, VA 22201-4714



CONFIRMATION NO. 2377
FORMALITIES LETTER
\*OC0000000006955397\*

Date Mailed: 10/23/2001

740.00 DP

130.00 02

54.00 OF

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$374.
  - \$54 for 3 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1214.

| 11/19/2001 | MLAKEW1 00000007 141140 | 09364849   |
|------------|-------------------------|--|
| 01 FC:102  | 336.00 CH               |  |
|            |                         | A copy of this notice MUST be returned with the reply. |

A copy of this notice MUSI be returned with the reply.

11/05/2001 MORDELRI 00000045 09984849

01 FC:101 02 FC:105 03 FC:103

Customer Service Center

WARDELR1 -00000042 09964849

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02 F01101 03 701103 04 F01102

740.00 Gi